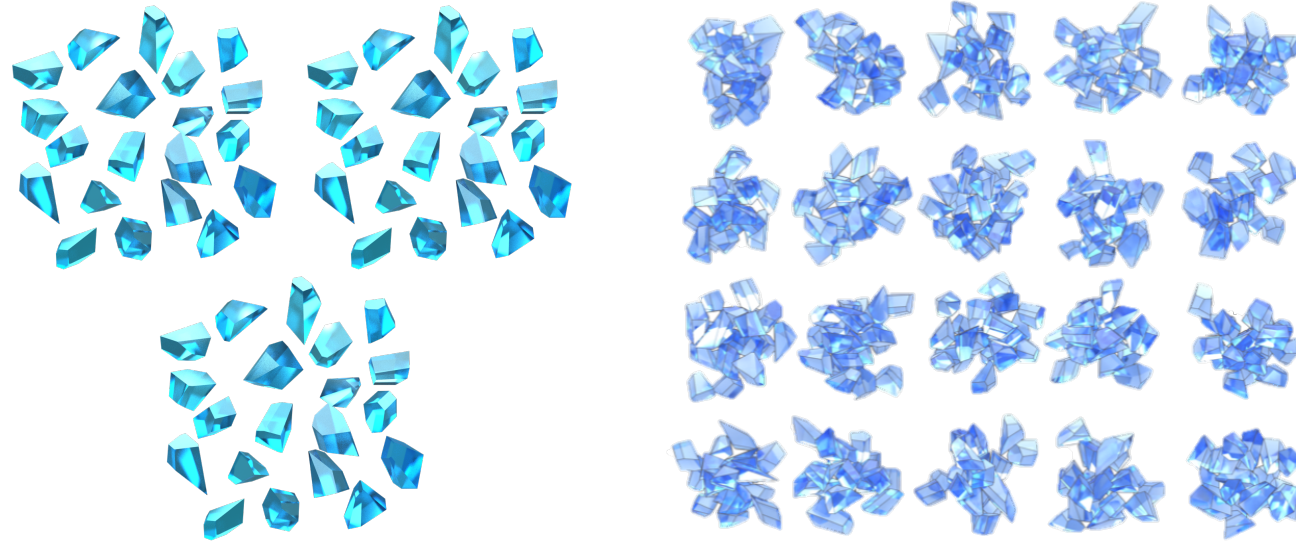


# Updates on the Two Habit Model Optical Property Database: Full Resolution and Improvements Compared to Previously Developed Databases

James Coy, Masanori Saito, Jiachen Ding, Tong Ren, Ping Yang

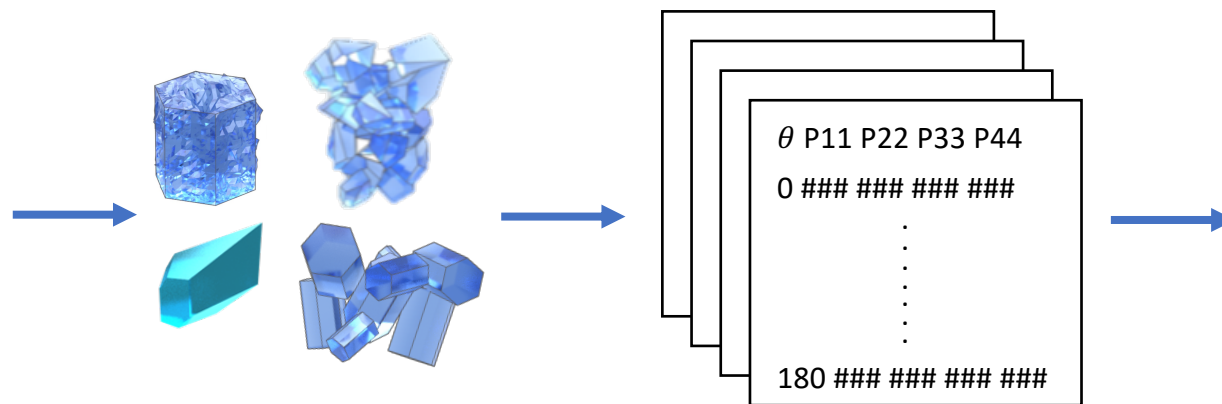
Texas A&M University, Department of Atmospheric Sciences

CERES Meeting May 11-13 2021

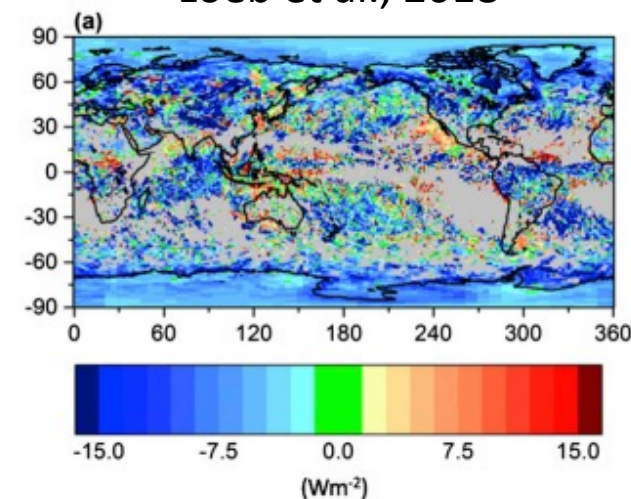


# Importance of Ice Cloud Particle Models

- Ice cloud properties still least understood atmospheric parameters in remote sensing and radiative transfer calculations due to uncertainties in ice cloud microphysical and optical properties.
- Ice cloud particle models help to describe microphysical (e.g., particle habit) and optical properties (e.g., scattering phase matrix) of ice clouds.
  - These properties are fundamental to applications in remote sensing, radiative transfer, and general circulation models.
  - New ice cloud particle models being developed/improved upon to provide more accurate downstream calculations.

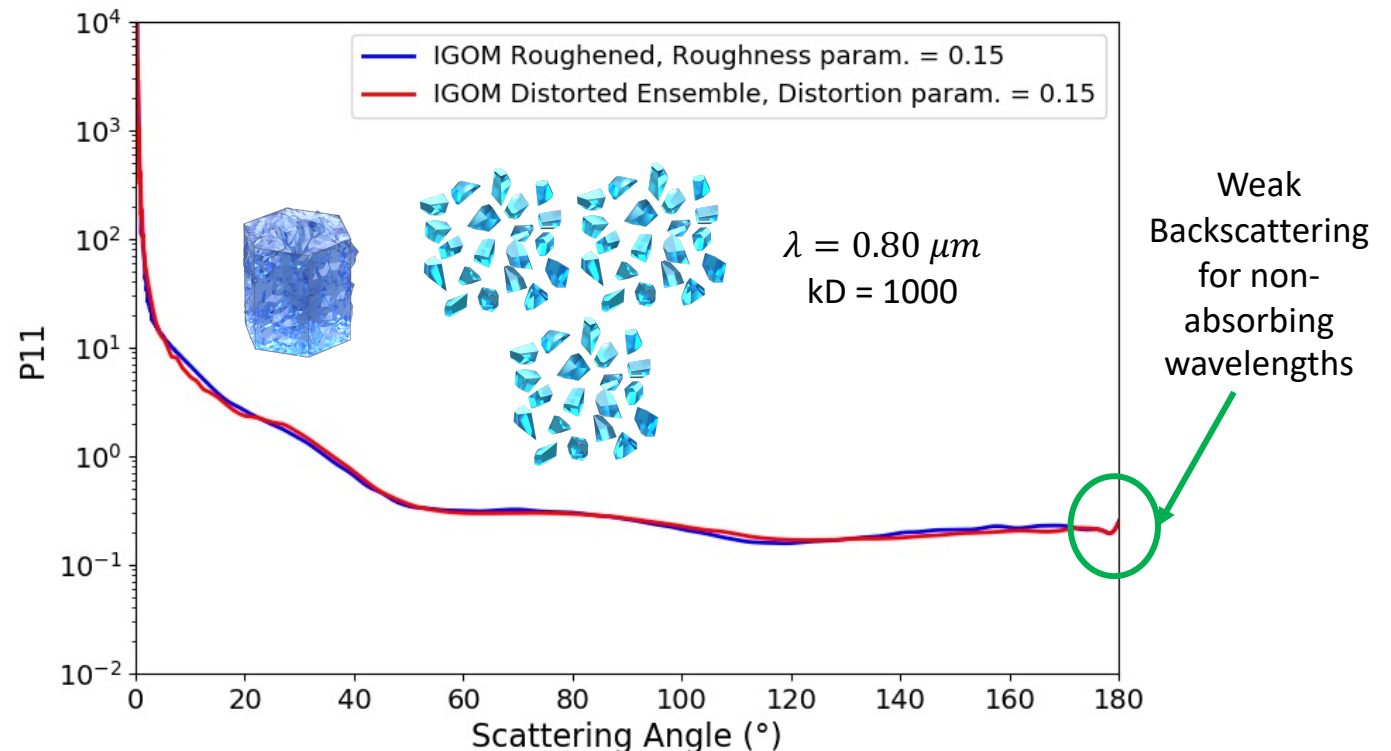
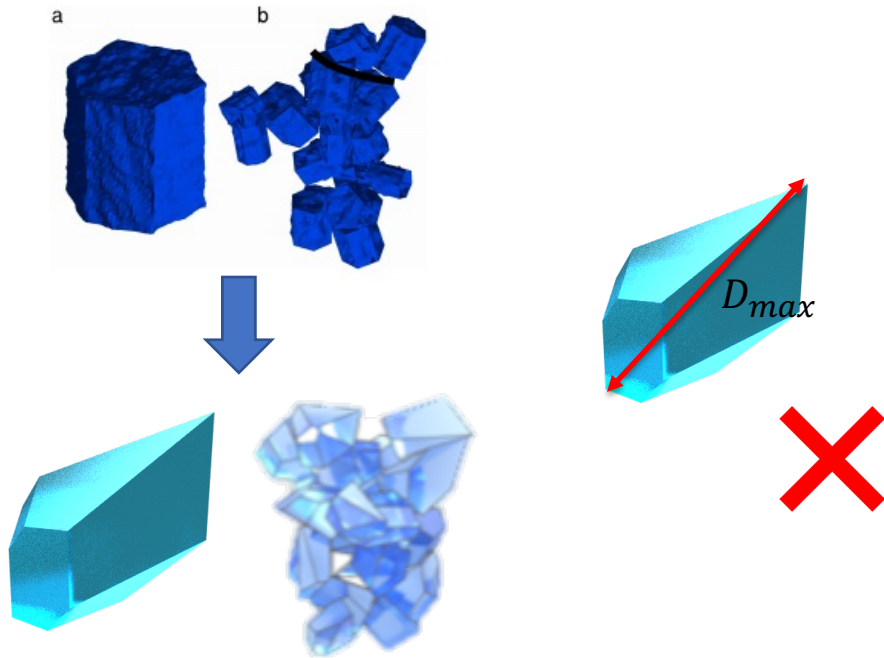


Loeb et al., 2018



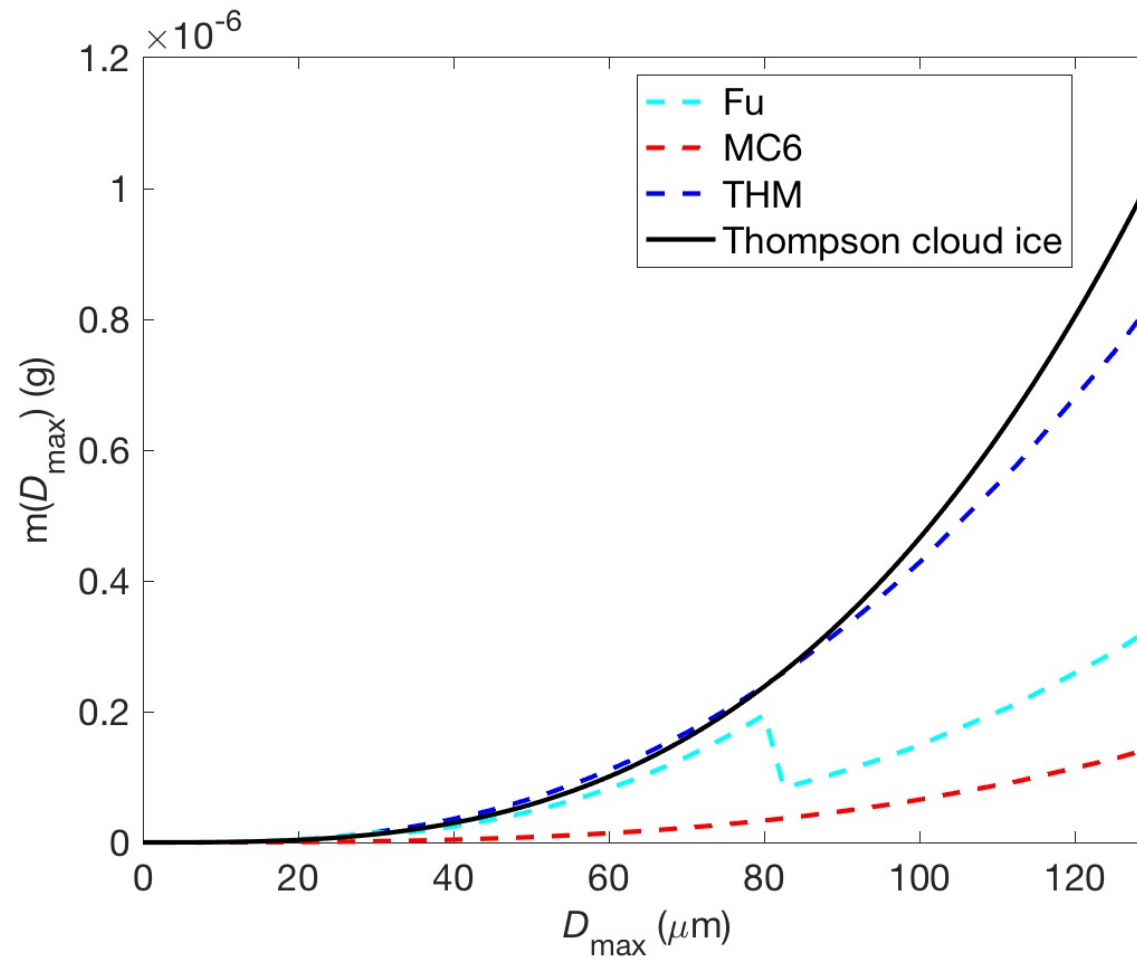
# Reasons for a new Two-Habit Model Database

- Observations of ice particles that comprises ice clouds show that particles can be irregularly shaped rather than being idealized such as a hexagonal column.
- Conventional particle size classification of maximum dimension do not represent irregular particle shapes leading to physical and optical inconsistency.
- Previously developed Two-Habit Model databases lack accurate backscattering which is important for applications for lidar-based radiative transfer simulations.



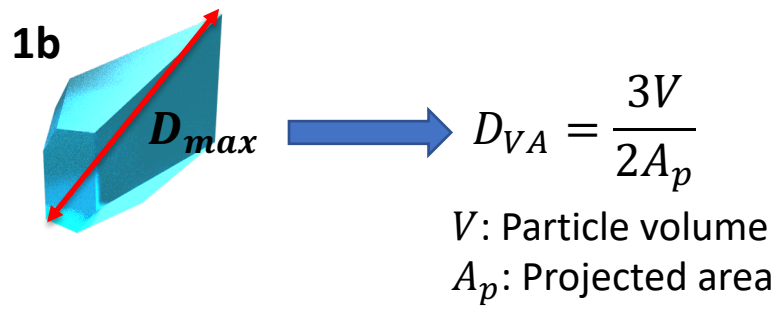
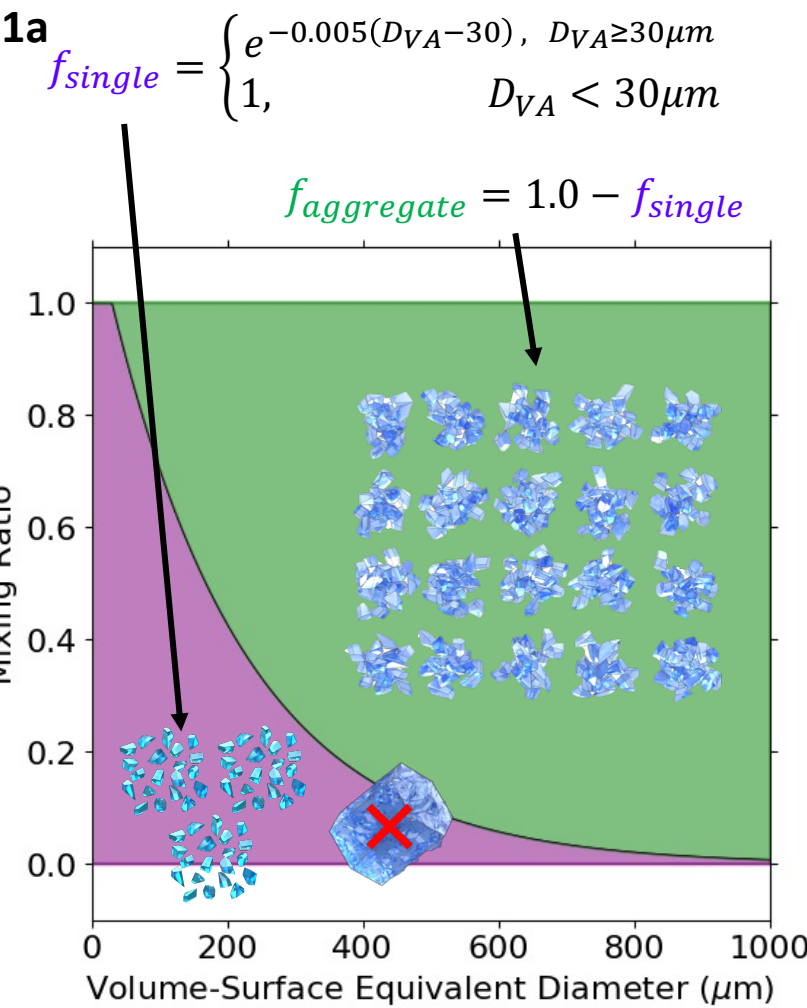
# Reasons for a new Two-Habit Model Database

- The Two-Habit Model (THM) follows the Thompson et al. 2008 cloud ice scheme than other commonly used single-scattering databases.
- Improvements to the THM should maintain the consistency with the cloud ice scheme.

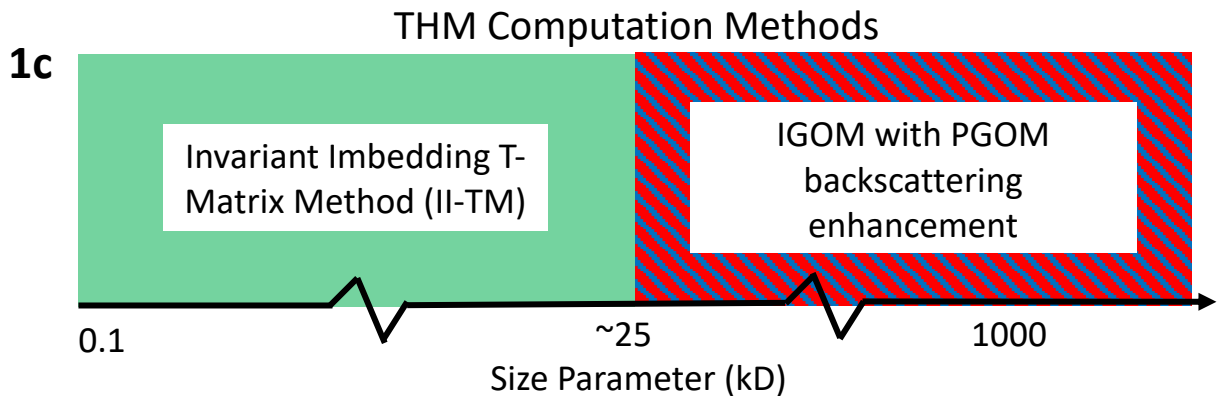


# Recap: Preliminary New Two Habit Model

- Same size-dependent, and continuous mixing ratio as the last version THM (Loeb et al., 2018) (Figure 1a).
- New 60-particle ensemble of distorted single columns.
- Volume-projected area equivalent sphere diameter ( $D_{VA}$ ) size characterization (Figure 1b).
- Physical Geometric Optics Model (PGOM)-based enhanced backscattering calculations applied to existing Improved Geometric Optics Model (IGOM) single-scattering calculations (Figure 1c).



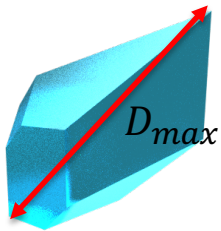
	Preliminary THM (Version 3)
Wavelength	42 bins (0.2 – 20 μm)
Size	59 bins (2.0 – 1000.0 μm)





# Recap: THM New Size Characterization

- Upon transitioning from roughened particle to distorted particle ensemble,  $D_{max}$  size characterization results in **optical and physical inconsistency (Warren and Grenfell, 1999)**.
  - Particle distortion causes changes in particle volume and projected area.
- **3 other size characterizations** considered for replacing  $D_{max}$ .
  - Volume-projected area equivalent sphere diameter ( $D_{VA}$ ) selected to replace  $D_{max}$  as new size characterization for new THM (Figure 2).



Surface Area-Equivalent  
Sphere Diameter

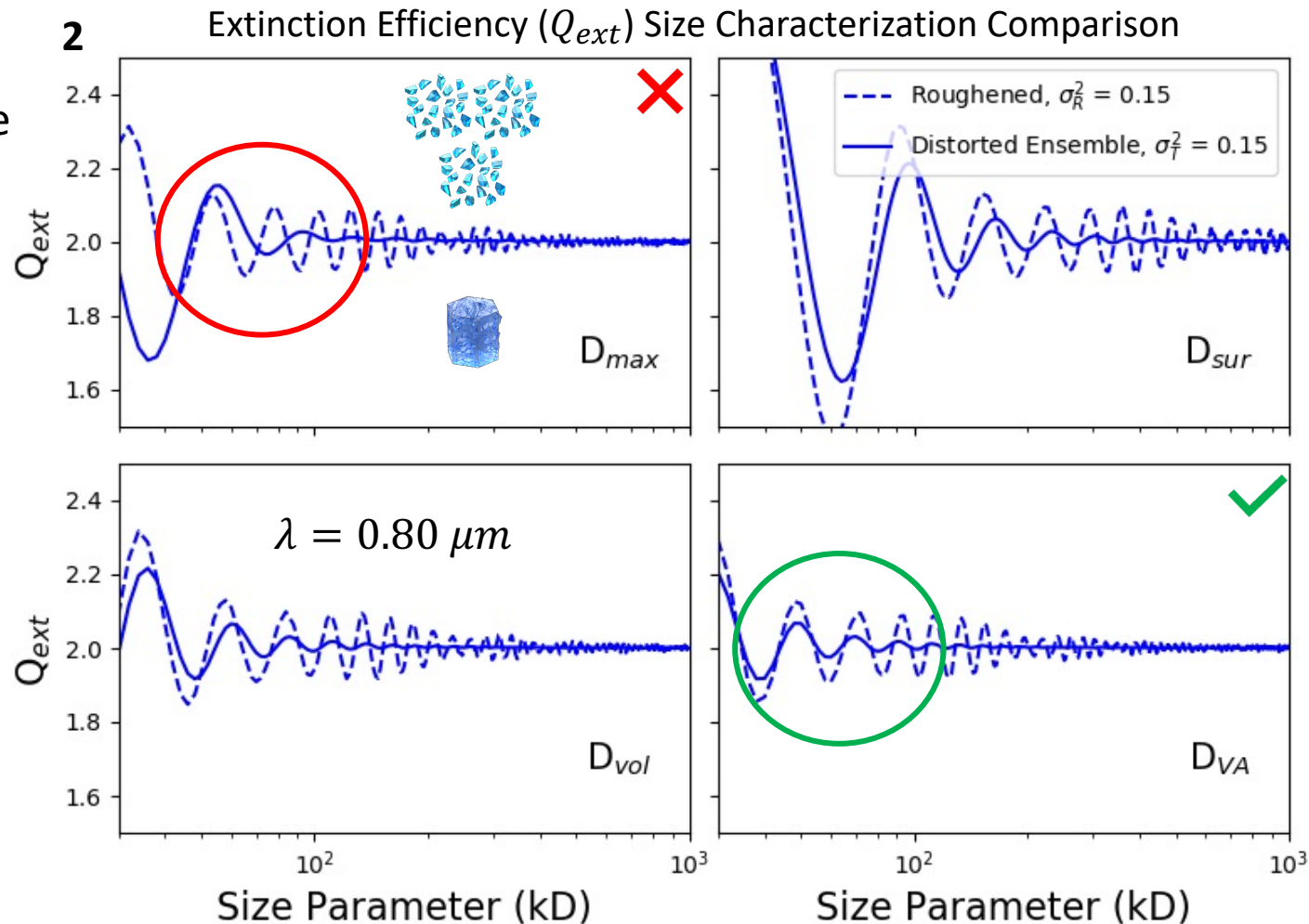
$$D_{sur} = \left( \frac{6}{\pi} S_{particle} \right)^{1/2}$$

Volume-Equivalent Sphere  
Diameter

$$D_{vol} = \left( \frac{6}{\pi} V_{particle} \right)^{1/3}$$

Volume/Projected Area-  
Equivalent Sphere  
Diameter

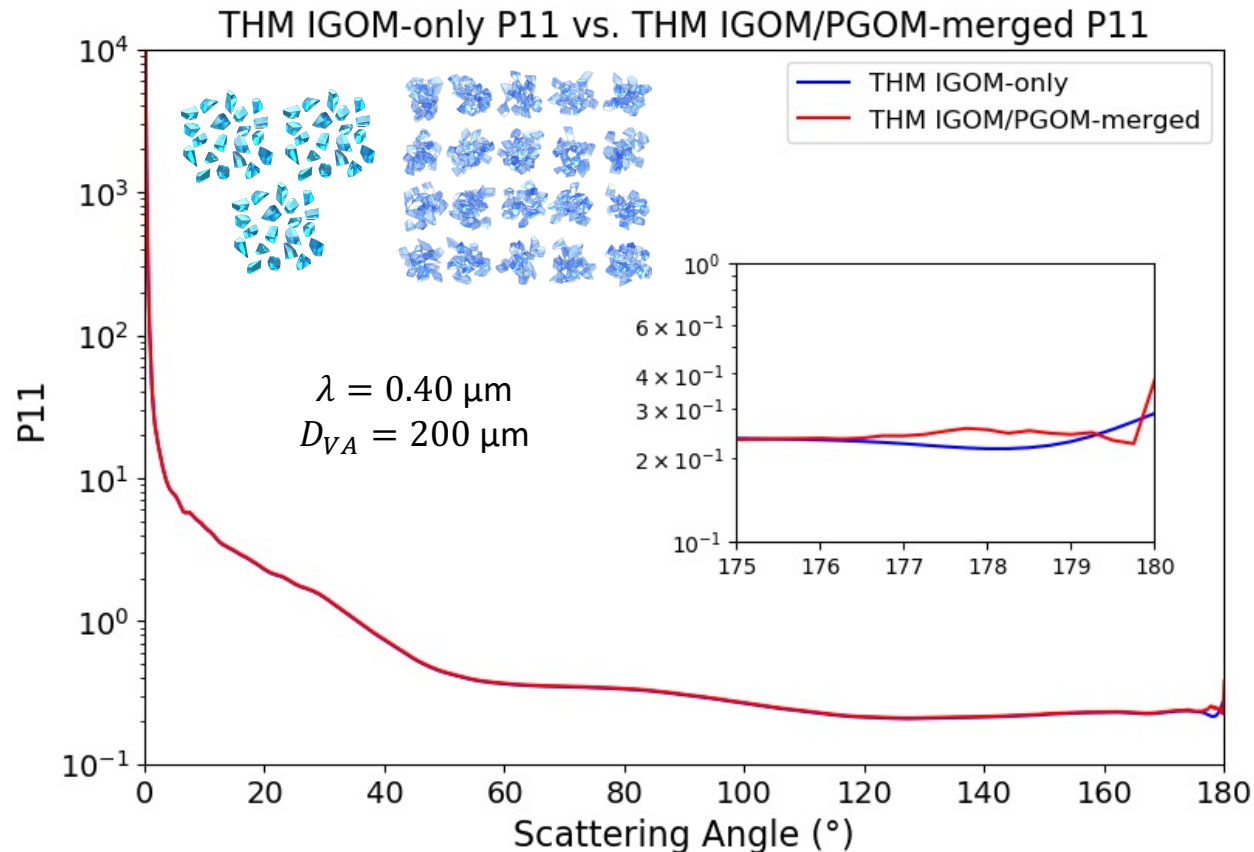
$$D_{VA} = \frac{3V_{particle}}{2A_{particle}}$$



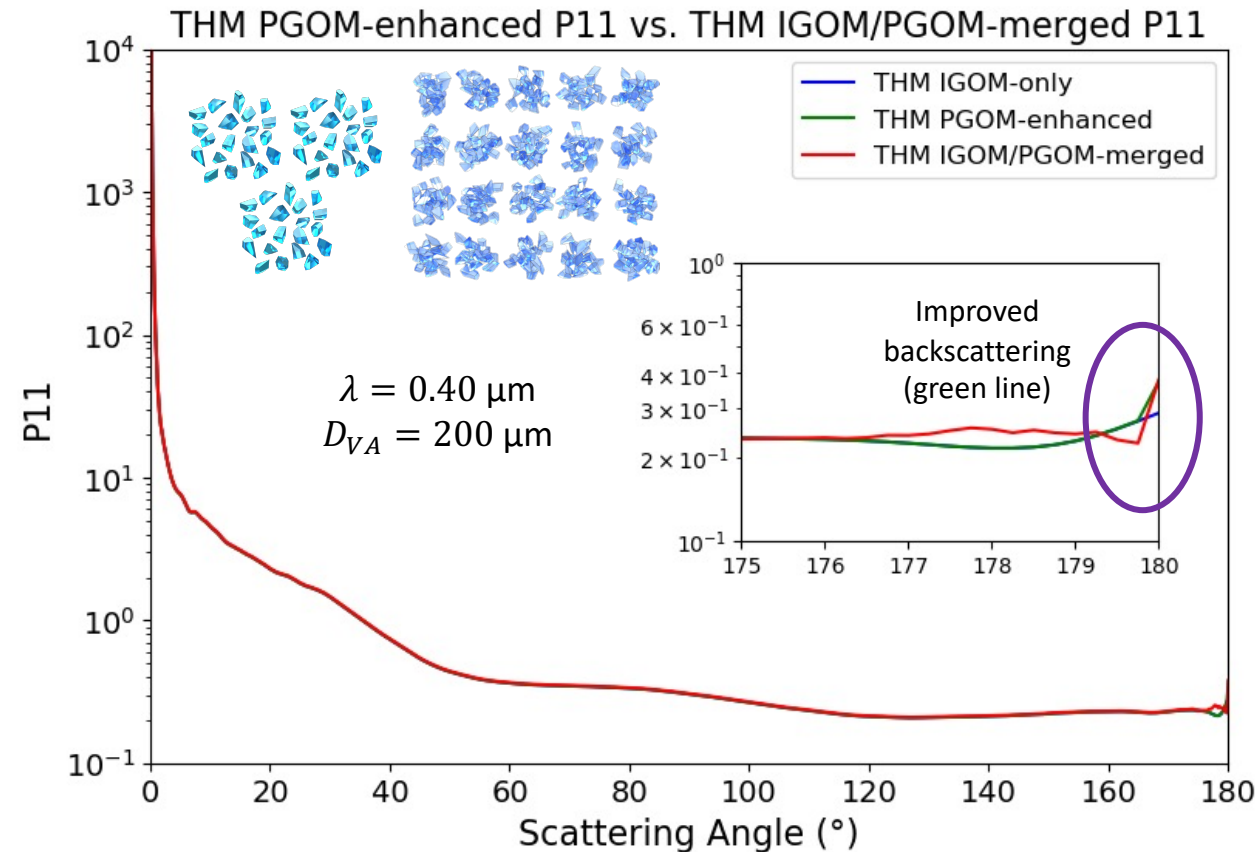
# Recap: PGOM-Based Backscattering Enhancement

- PGOM provides accurate backscattering calculations but significantly more computationally demanding than IGOM and IITM.
  - PGOM fully considers the vector properties and phase difference characteristics of ice particles while IGOM summarizes it for faster computation time.
- The PGOM-based backscattering enhancement, calculated from lookup table from selected refractive indices and size parameters, was applied to the preliminary THM.

3a

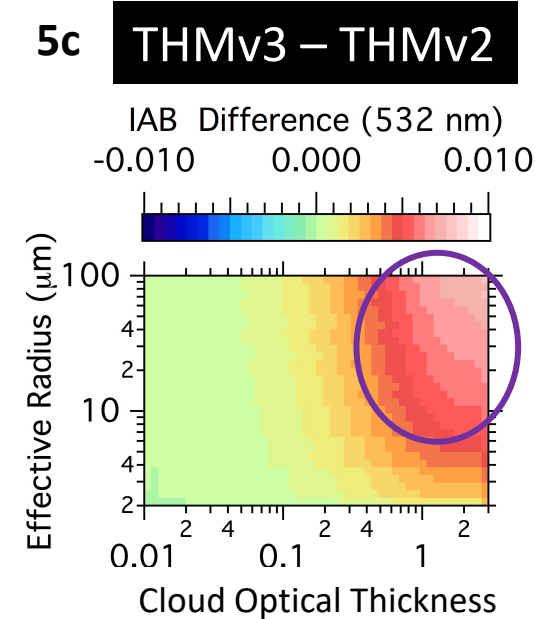
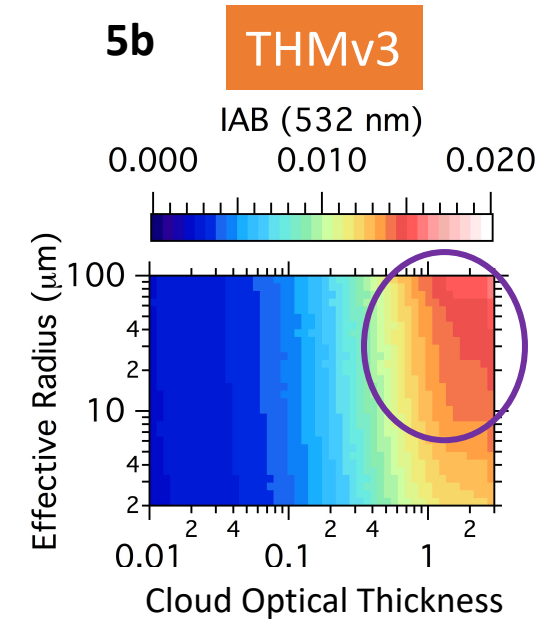
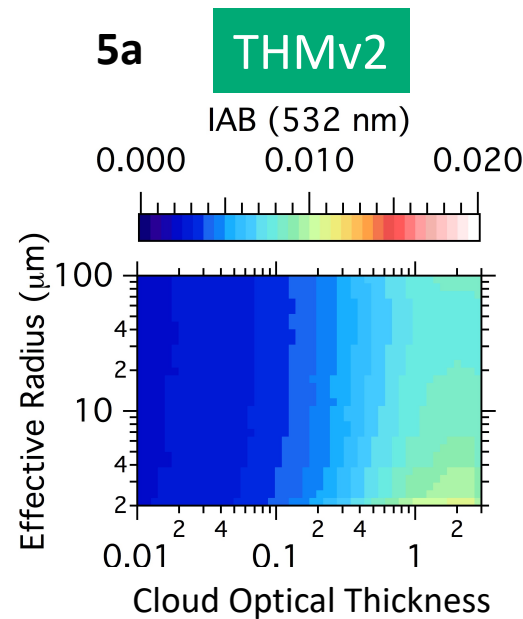
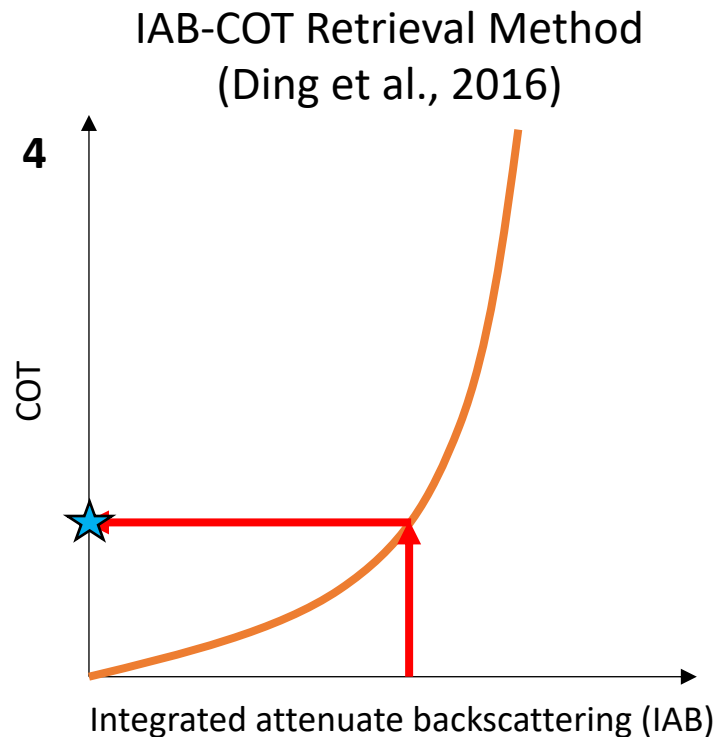


3b



# Recap: Major Changes in Lidar-based Radiative Transfer Simulations

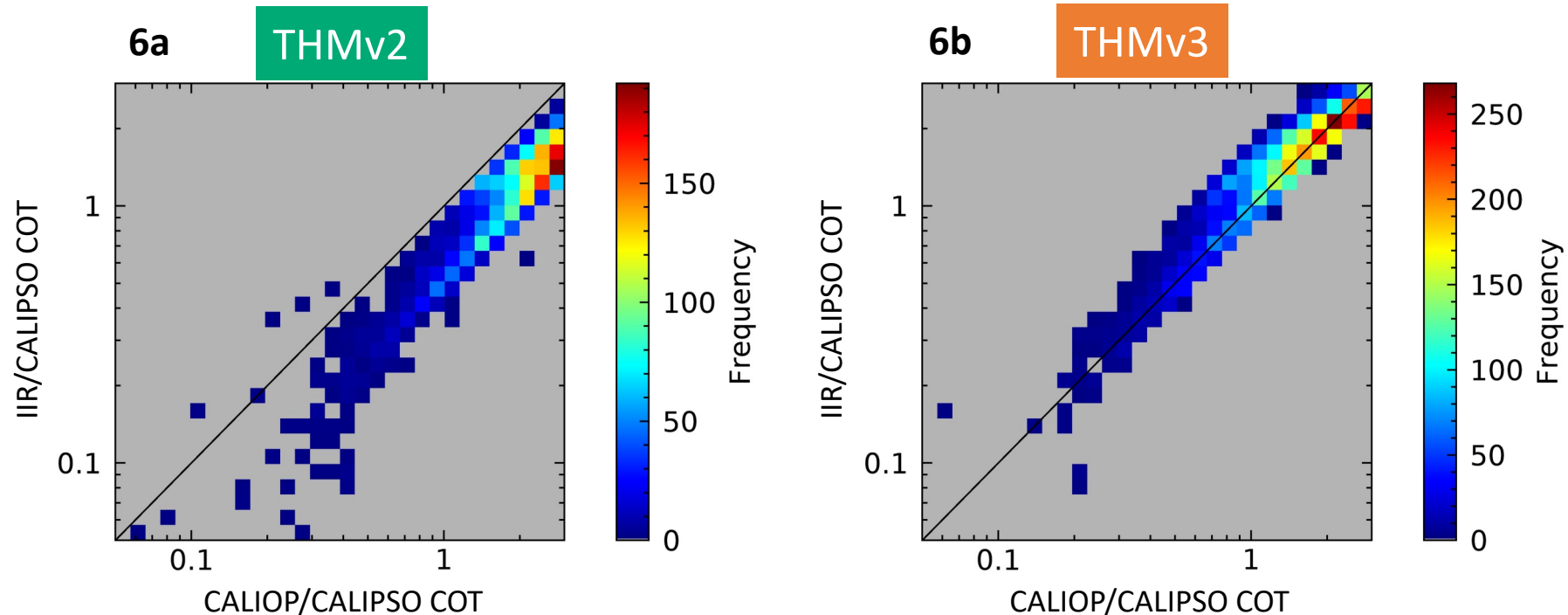
- Conducted lidar-based radiative transfer simulations utilizing CALIOP/CALIPSO data and the cloud optical thickness (COT) – integrated attenuated backscattering (IAB) retrieval approach.
  - Validate changes in simulation results for non-absorbing wavelengths (532 nm) caused by using the new THM with enhanced backscattering.
- **New Preliminary THM showed significantly higher IAB for larger effective radii and COT.**
  - **New THM reveals more COT information in the COT – IAB retrieval approach.**





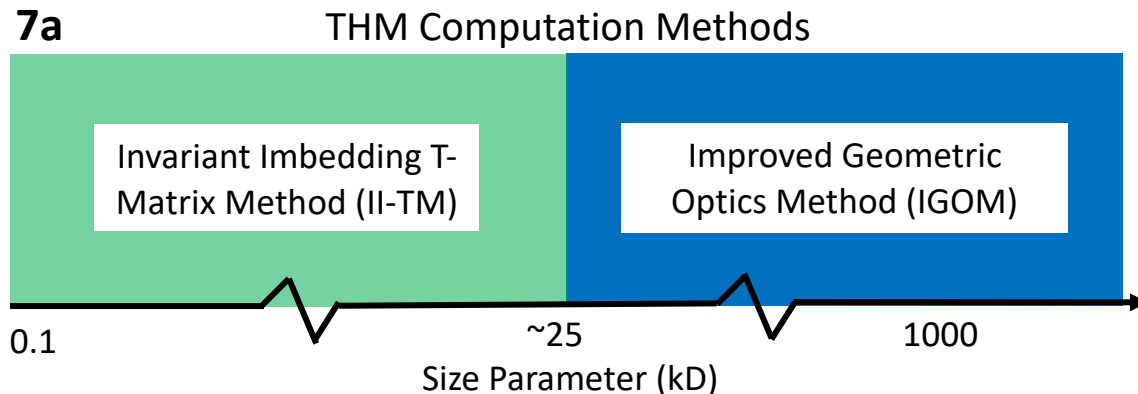
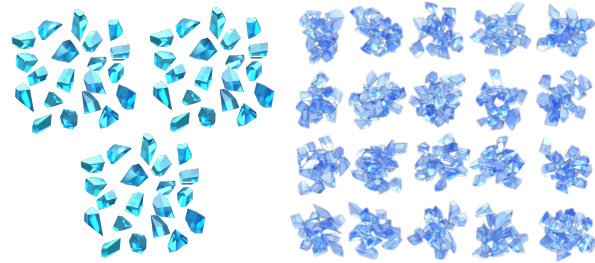
# Recap: Preliminary THM Active – Passive Consistency Check

- 532 nm CALIOP/CALIPSO IAB and 8.65, 10.6, and 12.05  $\mu\text{m}$  IIR/CALIPSO Split-Window technique COT retrieval methods utilized to validate active-passive consistencies between the previous and preliminary THM databases.
- New THM showed to achieve active-passive consistency in COT retrievals due to more IAB COT information provided by improved backscattering.

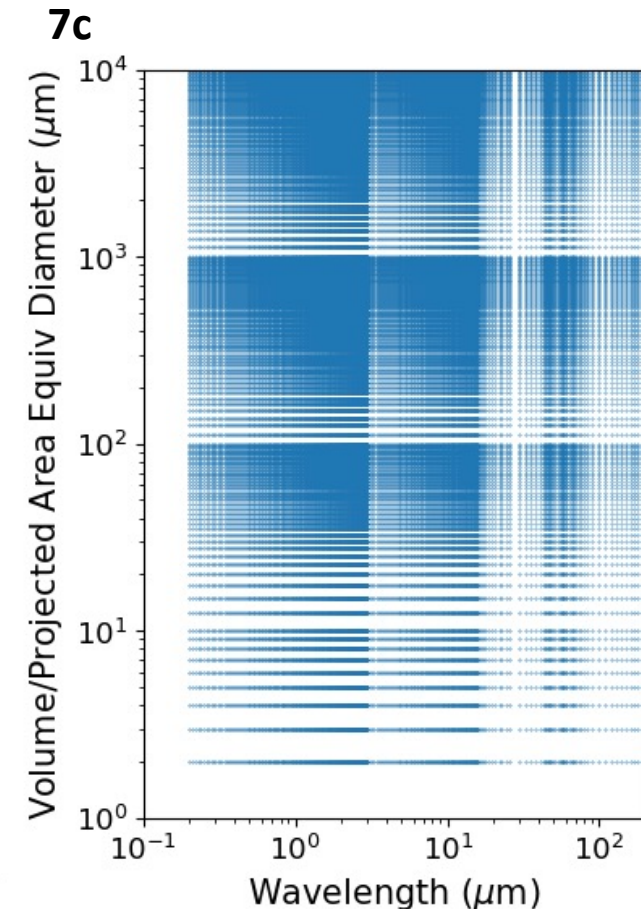
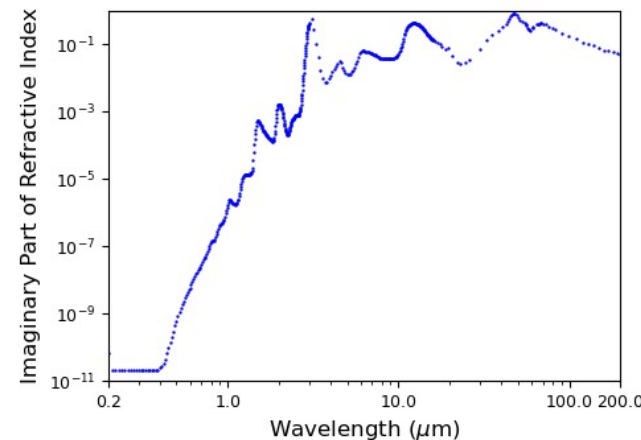
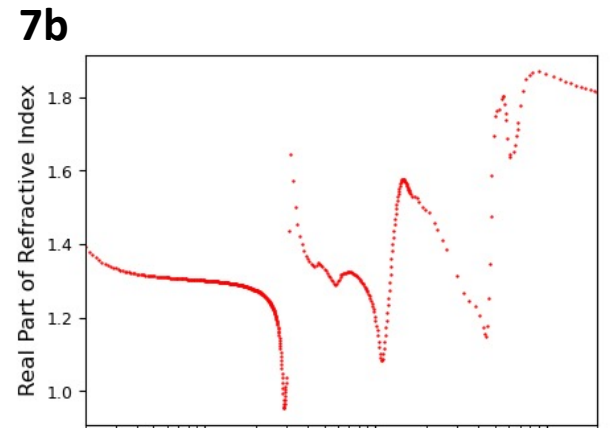


# Current Progress: Full Resolution THM Developed

- Full resolution THM database has been developed.
  - 60-particle irregular single column and 20-particle irregular 20-column aggregate ensembles.
  - Volume-projected area equivalent sphere diameter size characterization.
  - Same wavelength and size resolution and range as previous THM.
  - Only IGOM calculations for size parameters  $> 25$ .

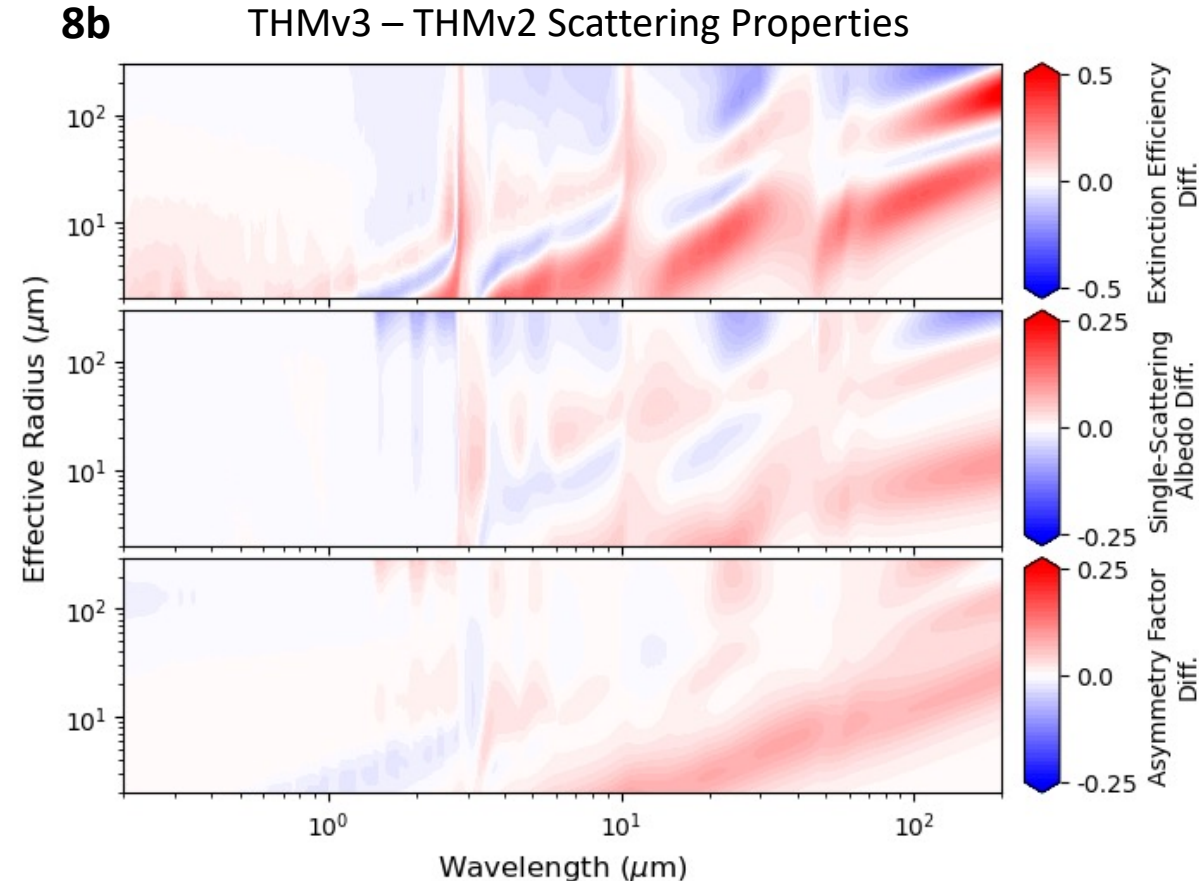
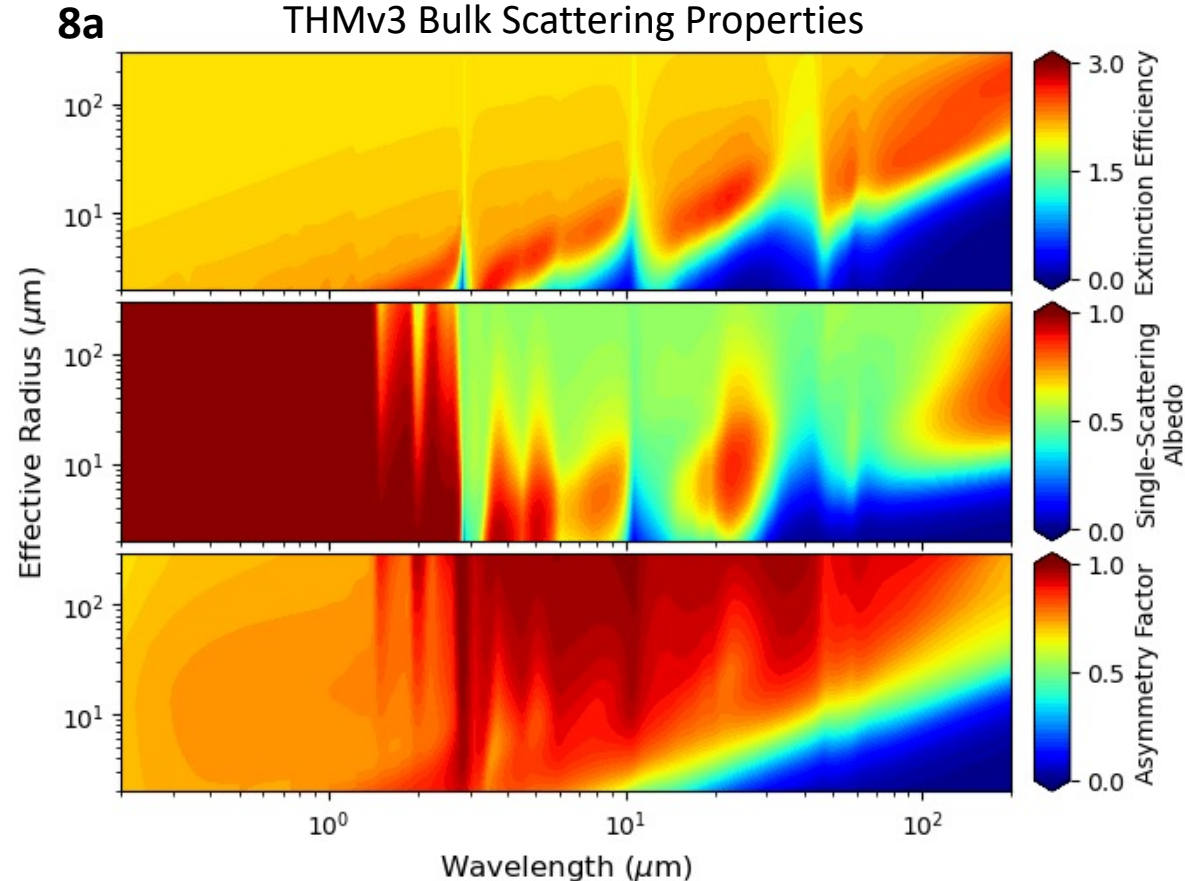


	New THM (Version 3)
Wavelength	470 bins (0.2 – 200 $\mu\text{m}$ )
Size	189 bins (2.0 – 10000.0 $\mu\text{m}$ )



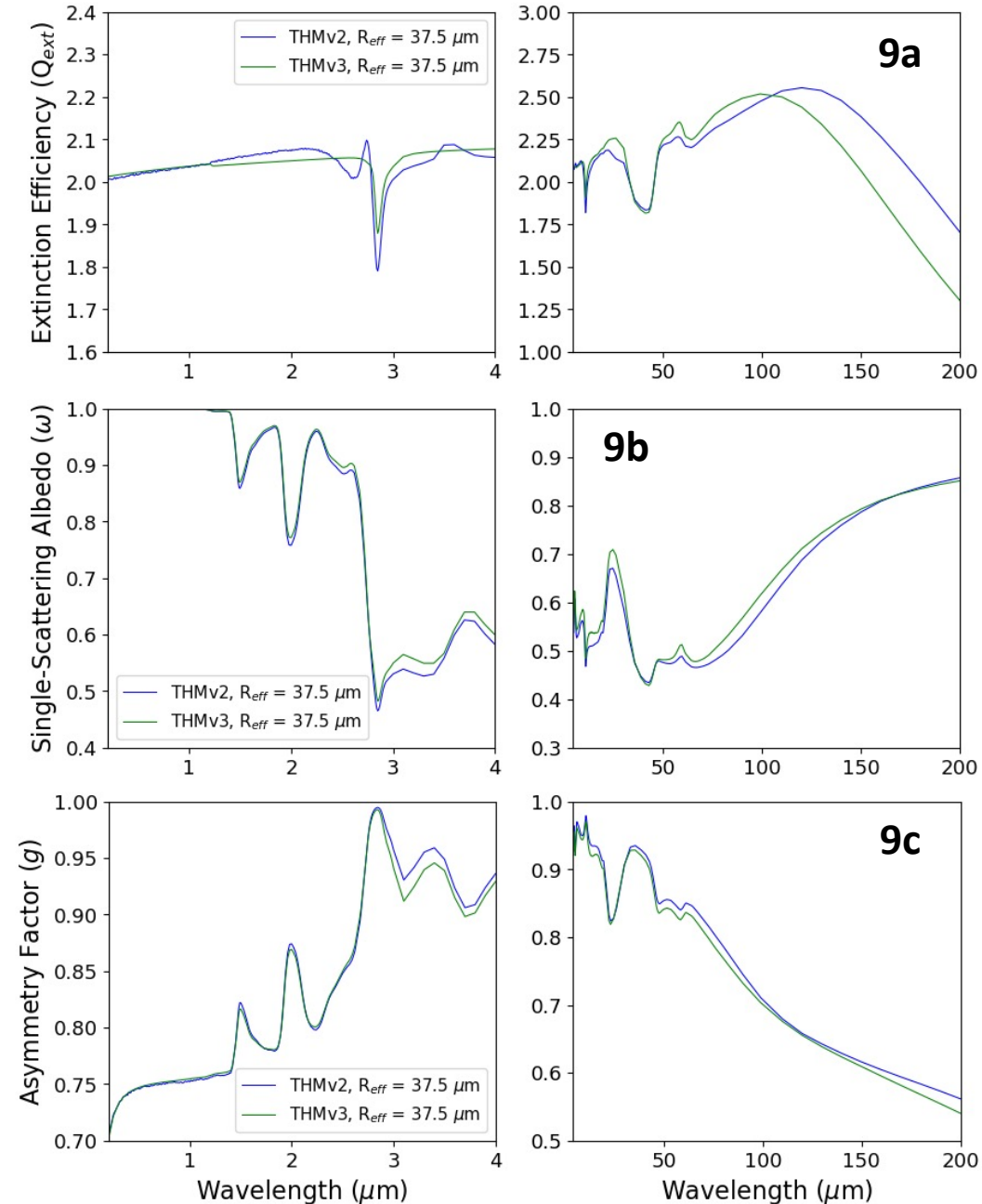
# Full Resolution THM Bulk Scattering Property Preview

- Absorptive wavelengths at about 2.9, 11, and 46  $\mu\text{m}$  have significantly low extinction efficiencies, single-scattering albedo (Figure 8a) (due to sharp declines in real part of refractive index).
- **Most significant THM version differences in extinction efficiency** (Figure 8b).
  - Likely caused by small particle habit change and IITM calculation size parameter limit reduction (THMv2 IITM < 40; THMv3 IITM < 25).



# Full Resolution THM Comparison with Previous Version

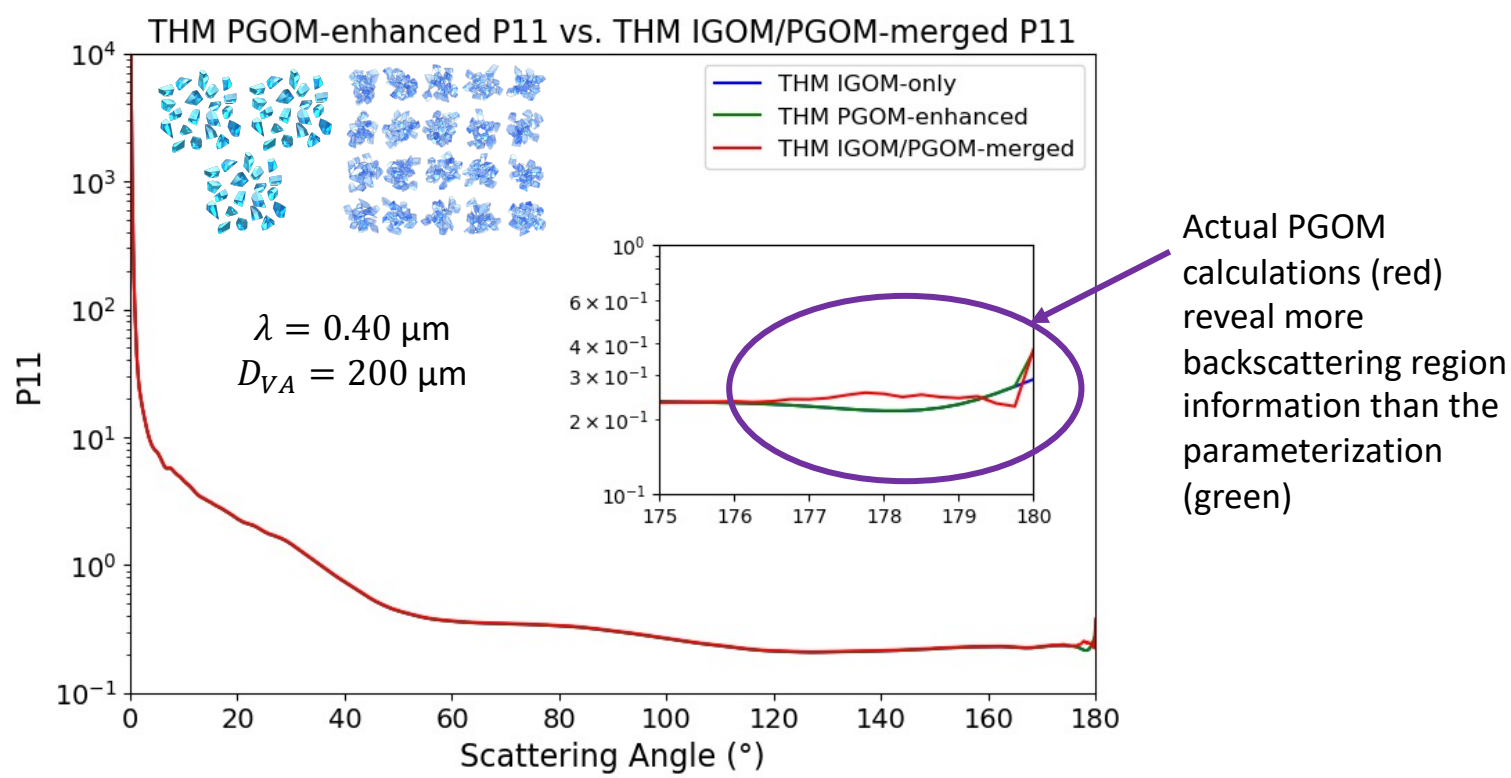
- Bulk scattering calculations with effective radius eliminate obvious size characterization differences between the two THM versions.
  - Reveals how irregular single column ensemble affected results.
- For small wavelengths ( $< 4 \mu\text{m}$ ), bulk  $Q_{ext}$  (Fig. 9a) for THMv3 less sensitive to absorptive wavelengths.
- THMv3 bulk  $\omega$  (Fig. 9b) slightly greater than THMv2 throughout nearly all wavelengths (except shortwave).
- THMv3 bulk  $g$  (Fig. 9c) slightly less than THMv2 for nearly all wavelengths.
- Overall, distorted single column ensemble more reflective and less sensitive to absorptive wavelengths.





# Current Progress: Lidar Version of New THM In Development

- Performing PGOM calculations for the full resolution THM not computationally feasible.
  - Each PGOM calculation takes around 10 min – 1 hour to complete for each wavelength and size.
  - Using backscattering enhancement parameterization like in preliminary THM likely to lead to errors.
- Want to focus on wavelengths commonly used for lidar applications.
  - **355, 532, and 1064 nm** considered for the lidar version of the new THM.
  - Will use PGOM calculations to replace IGOM-calculated backscattering region (160 degrees and greater).



	Lidar THM
Wavelength	3 bins (355, 532, 1064 nm)
Size	189 bins (2.0 – 10000.0 $\mu\text{m}$ )



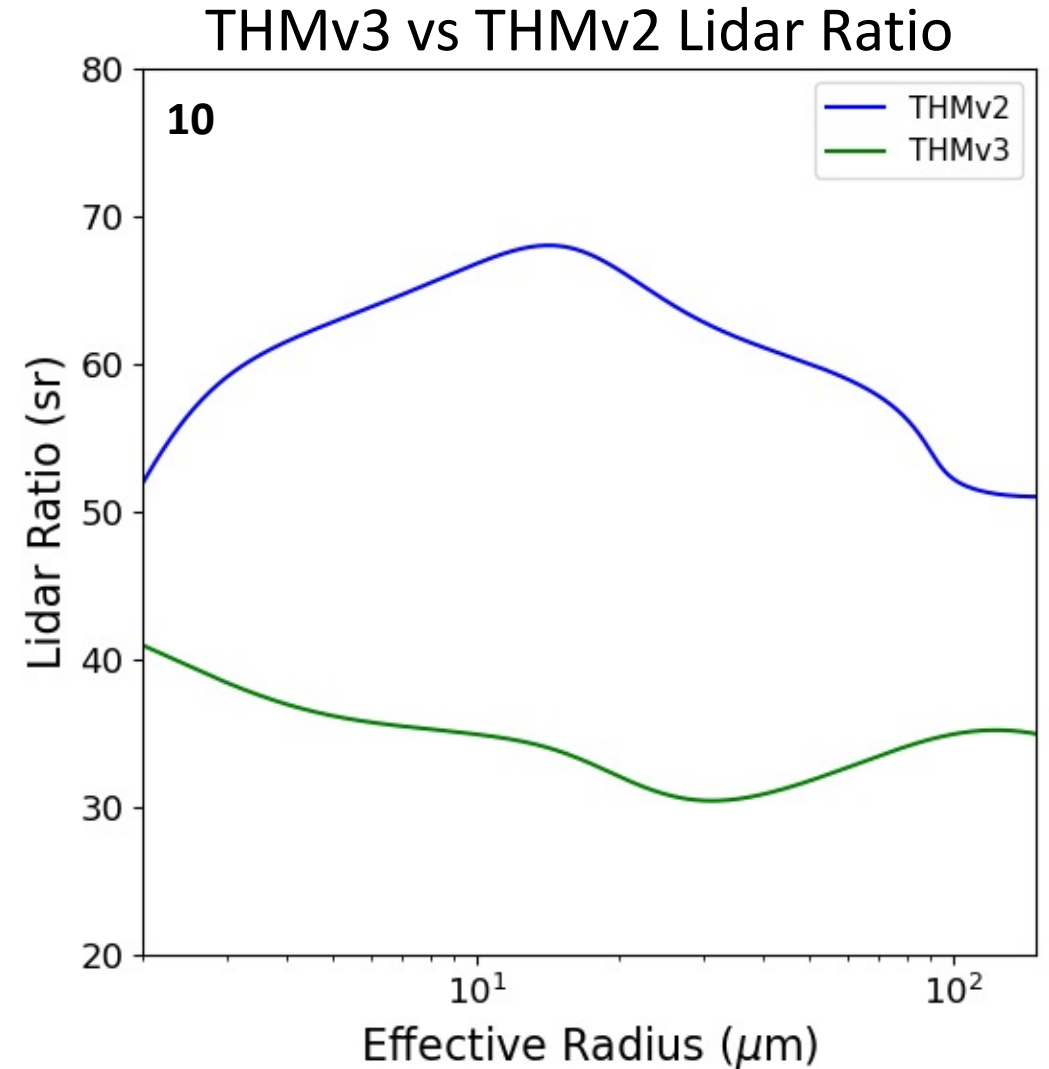
# Preview: 532 nm Lidar Ratio THM vs. Previous THM

- Since the **new THM has higher backscattering**, the denominator of the lidar ratio will be higher thus **reducing the ratio value**.
- **The THMv3 532 nm lidar ratio ranges from 30 – 40 sr.**
  - In agreement with Seifert et al. 2007: **lidar ratio of 29 – 33 sr over Indian Ocean.**
  - In agreement with Josset et al. 2012: **lidar ratio of  $33 \pm 5$  sr over the global ocean.**

## Lidar Ratio

$$S = \frac{4\pi}{\omega_{bulk} P_{11,bulk}(180^\circ)}$$

$\omega_{bulk}$ : bulk single-scattering albedo  
 $P_{11,bulk}$ : bulk scattering phase function



# Summary

- Successfully developed the full resolution new THM with a new size characterization and particle habit change.
  - Bulk scattering calculations indicate no abnormalities in the new THM.
  - Testing/validation will be conducted using the database in remote sensing applications and broadband radiative transfer simulations.
- Lidar version of the THM is currently in development and will be completed in June.
  - Will demonstrate the improvements in retrievals provided by the accurate PGOM backscattering calculations.
  - Will be compared against the previous THM and a lidar version of the Fu 1996 database that will also have PGOM backscattering calculations.

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